

Sustainable investing and transparency

In 2018, the European Commission unveiled an action plan for financing sustainable growth: The EUAP (European Action Plan on Sustainable Finance). As a part of the EUAP, the European Union has issued a series of regulations requiring financial market participants to provide transparency about how they integrate sustainability factors into their investment processes at the product and entity level.

In this context, sustainability factors must be considered from various perspectives:

a. Impact on the value of the investment.

A sustainability risk is an environmental, social and governance event or condition that, if it occurs, could have an actual or potential material negative impact on the value of an investment.

b. Impact of an investment decision that could have negative effects on sustainability factors.

This is what the regulation calls the 'Principal Adverse Sustainability Impact' of the investment decision (PASI).

We explicitly support the measures set out in the EUAP to create greater transparency about how sustainability factors are considered in investment processes.

Our responsibility as an issuer

As an issuer of investment products, we recognize that we have a responsibility towards our stakeholders to play an active role in the sustainable transformation of the economy and society for the benefit of future generations.

We therefore want to engage in a dialogue with our clients about the challenges that lie ahead and to show them how this transformation process is creating unique opportunities for investors.

We identify and analyze material sustainability risks in our investment decisions. To meet our sustainability goals, we finance pioneering research and sustainability projects, that consider ESG factors on a holistic level. This enables us to offer dedicated investment solutions that allow for a clean access to the underlying(s).

Principle Adverse Sustainability Impact Statement

Due to the embryonic stage of the regulation, it does not allow us to consider the PAIs of our products in a satisfactory manner. Helveteq will await further guidance from the authorities and until then, implement the criteria, standards and methodologies that Helveteq has set for itself via proprietary elaboration.